



UNITED STATE SECURITY AND EXCHANGE COMMISSION

INVESTMENT DEALER AND BROKER LICENSE

Licence No: Ga12562871

It is hereby certified and awarded to
iCAPITAL INVESTMENT
A trading management company

**Is registered as a Financial and Forex Traders
Broker in terms of section 5
of the Security, Financial Services Act and Electronic
Communication
Network, 2010 (Act No. 25 of 2010) Subject to the
conditions
and restriction set out in the Annexure**

This 20th, day of August 2016


P.K. Kochen
*Issued on behalf of the Financial Services Commission
under the authority of the Chief Executive*



NFA





**CERTIFICATE OF INCORPORATION
OF A
PRIVATE LIMITED COMPANY**

No. 2489765

The Registrar of companies for England and Wales, hereby certifies that

iCAPITAL INVESTMENT

Is This Day Incorporated under the companies Act 2006 as a private company, that the company is limited by shares, and the situation of its registered office is in England and Wales.

Given at Companies House, Cardiff, the 3 October 2018

The above information was communication by electronic means and authenticated by the Registrar of companies under section 1115 of the companies Act 2006



Companies House



THE OFFICIAL SEAL OF THE
REGISTRAR OF COMPANIES